

# Transfer request form

## For Managed Funds investors

This form relates to the transfer of ownership in an investment in the Australian Ethical Managed Funds. It is to be read in conjunction with the Product Disclosure Statement for the relevant Australian Ethical Managed Fund current at the date of signing this form and available on our website.

Australian Ethical Investment Ltd (ABN 47 003 188 930) is the responsible entity and holder of an Australian Financial Services Licence (No. 229949).

- You will need to complete the details on this Transfer Form and the Managed Funds Application Form to effect a transfer.

New investors will need to complete the details on this Transfer Form and the Managed Funds Application Form (available at [australianethical.com.au/managed-funds/pds](http://australianethical.com.au/managed-funds/pds)) to effect a transfer.

Please use **BLOCK LETTERS** and **black ink**.

Send your completed form to:

[investors@australianethical.com.au](mailto:investors@australianethical.com.au)

or Australian Ethical Investment Ltd,  
Reply Paid 3993, Sydney, NSW, 2001

### Step 1: Transferor's details

Investor number

Investor 1 name

Investor 2 name

Investor 3 name

### Step 2: Transfer request

I/we being unitholders in the Australian Ethical Managed Funds, hereby request that you transfer units from the Fund/s as specified in the table below to the transferee/s as detailed below.

Please fill out only one column for each Fund.

If you are not transferring all of your units, please ensure that at least \$1,000 worth of units remain invested in each fund in your name to meet the minimum retail investment requirements.

Name of the fund	Units to the \$ value of		Number of units		All units
Australian Shares Fund	\$ <input type="text"/>		<input type="text"/>		<input type="checkbox"/>
Balanced Fund	\$ <input type="text"/>		<input type="text"/>		<input type="checkbox"/>
Conservative Fund	\$ <input type="text"/>		<input type="text"/>		<input type="checkbox"/>
Diversified Shares Fund	\$ <input type="text"/>	OR	<input type="text"/>	OR	<input type="checkbox"/>
Emerging Companies Fund	\$ <input type="text"/>		<input type="text"/>		<input type="checkbox"/>
Fixed Interest Fund	\$ <input type="text"/>		<input type="text"/>		<input type="checkbox"/>
High Conviction Fund	\$ <input type="text"/>		<input type="text"/>		<input type="checkbox"/>

## Step 2: Transfer request (continued)

Name of the fund	Units to the \$ value of	Number of units	All units
High Growth Fund	\$ <input type="text"/>	<input type="text"/>	<input type="checkbox"/>
Income Fund	\$ <input type="text"/>	<input type="text"/>	<input type="checkbox"/>
International Shares Fund	\$ <input type="text"/>	<input type="text"/>	<input type="checkbox"/>
Moderate Fund	\$ <input type="text"/>	<input type="text"/>	<input type="checkbox"/>

### Regular investment plan

Place an 'X' in the box to cease your regular investor plan. If the box is not crossed, your regular investor plan will continue.

## Step 3: Signature of transferor(s)

**1** Please note if you are using an electronic signature, this must be signed using OneSpan, DocuSign, or Adobe Acrobat Sign, and the forms will need to be accompanied by a time-stamped certificate.

Signature

Date (DD/MM/YYYY)

Signatory's full name (please print)

Signature

Date (DD/MM/YYYY)

Signatory's full name (please print)

Signature

Date (DD/MM/YYYY)

Signatory's full name (please print)

## Step 4: Transferee's details

Investor number

Investor 1 name

Investor 2 name

Investor 3 name

## Step 5: Signature of transferee(s)

I/we being the named transferee(s) below, hereby agree to be bound by the provisions of the Constitution and the Product Disclosure Statement applicable to the investment which is available on [australianethical.com.au/managed-funds/pds](https://australianethical.com.au/managed-funds/pds).

For joint investments all investors must sign.

If this transfer is signed under Power of Attorney, the Attorney states that they have no notice of revocation of the Power.

A certified copy of the Power of Attorney is attached to this document (if not previously provided).

**!** Please note if you are using an electronic signature, this must be signed using OneSpan, DocuSign, or Adobe Acrobat Sign, and the forms will need to be accompanied by a time-stamped certificate.

Signature

Date (DD/MM/YYYY)

Signatory's full name (please print)

Signature

Date (DD/MM/YYYY)

Signatory's full name (please print)

Signature

Date (DD/MM/YYYY)

Signatory's full name (please print)

**?** If you have any questions, please contact Australian Ethical Managed Funds on 1800 021 227.