

PRIVACY COLLECTION NOTICE

(Marketing and Communications)

Updated 13 October 2016

Australian Ethical Investment Ltd (“Australian Ethical”) is committed to protecting the privacy of your personal information in accordance with the *Privacy Act 1988* (Cth). Our Privacy Policy details how we collect, hold, use, disclose and keep your personal information secure. Australian Ethical’s Privacy Policy is readily available and can be viewed at: www.australianethical.com.au/privacy-policy. Please read this Privacy Collection Notice to see how we will use the personal information you are providing us with.

What types of information do we collect and why?

Australian Ethical collects personal information from you when you enter one of our promotions or respond to one of our communication initiatives. The personal information that Australian Ethical collects from you may include your name and contact details, including your email address. We may collect additional personal information from you by other means from time to time with your consent.

The primary purpose for collecting your information is to conduct marketing promotions or communication initiatives and may include, amongst other things competitions, giveaways, newsletters subscriptions, social media offers and petitions. We may, for an indefinite period, unless otherwise advised by you, use the personal information you have provided for promotional, marketing, publicity, research and profiling purposes and to send electronic messages or telephone you, as well as developing products and meeting regulatory obligations.

If you choose not to provide the personal information that we ask for, or the information that you provide to us is incomplete or inaccurate, it may mean that we cannot contact you to notify you if you have won the competition or if there have been any updates with respect to the relevant promotion or initiative. If the prize notification email is returned as undeliverable or the winner does not respond within 7 days of notification, the prize will be deemed unclaimed and will be re-drawn.

Who do we disclose personal information to and why?

Generally Australian Ethical will only disclose your personal information for the purposes for which it was collected. The organisations and people to whom we may disclose your personal information include:

- a. Our agents, contractors, service providers (and any of their sub-contractors) and prize suppliers for the purpose of conducting this promotion;
- b. Independent consultants and market research companies for the purpose of conducting data analysis and market research with respect to our products and services.

Our web hosting company may be given access to your personal information as part of their engagement to host and manage our websites. Our web and application developers may be given access to your personal information as part of developing applications for, and enhancing, our websites and testing that our applications and websites are working properly.

We use an external service provider based in the USA to deliver our marketing emails to you. In order for them to provide the service, we share your name, email address and member number with them. If you do not want to receive marketing material from us, you can contact us using the details provided below or use the unsubscribe function on electronic communications.

All competition data is collected using a secure third party application based in the USA.

Where your personal information is disclosed to service providers and other organisations, Australian Ethical seeks to ensure that your personal information is handled in accordance with privacy laws and is not used or disclosed for any purpose other than those to which you have consented (unless otherwise required by or under an Australian law or court/tribunal order).

Do you have any queries or complaints?

Our Privacy Policy, which can be found on our website, contains information about how you may access your personal information held by us and seek correction of such information. It also contains information about how you may complain about a breach of the Australian Privacy Principles and how we will deal with such a complaint.

If you have any questions about the personal information that is being collected, or you have any complaints, please contact our Privacy Officer on:

Phone: 02 8276 6288
Email: privacy@australianethical.com.au
Address: GPO Box 8, GPO Box Centre, Sydney 2000
Website: www.australianethical.com.au

We reserve the right to modify this Privacy Collection Notice and our Privacy Policy from time to time to reflect our current privacy practices. You should regularly review the most recent version of our Privacy Policy available on our website.