

Switch form

For Managed Funds investors

A switch operates as the redemption of units in one managed fund and the investment of the proceeds in another fund. As such, it usually constitutes a realisation for capital gains tax purposes. Investors should consider this when making a decision to switch. You can switch all or part of your investment between the Australian Ethical Managed Funds.

You must read the relevant Australian Ethical Managed Fund Product Disclosure Statement prior to investing available at australianethical.com.au/managed-funds/pds.

Please use **BLOCK LETTERS** and **black ink**.

Send your completed form to:

investors@australianethical.com.au

or Australian Ethical Investment Ltd,
Reply Paid 3993, Sydney, NSW, 2001

Step 1: Investor details

Investor number

Investor 1

Title

Mr Mrs Ms Miss Other, please specify

Surname

Full given name(s)

Investor 2

Title

Mr Mrs Ms Miss Other, please specify

Surname

Full given name(s)

Investor 2

Title

Mr Mrs Ms Miss Other, please specify

Surname

Full given name(s)

Step 1: Investor details (continued)

Non-individuals (company, partnership, trust)

Title

Mr Mrs Ms Miss Other, please specify

Surname

Full given name(s)

Legal name of entity

ABN (if applicable)

Address

Address

City

State

Postcode

Country

Work phone

Home phone

Mobile phone

Step 2: Switch details

I/ we, being an investor(s) in the Australian Ethical Managed Funds request that you switch:

	Amount		Fund name		Fund name
\$ or units	<input type="text"/>	from	<input type="text"/>	to	<input type="text"/>
\$ or units	<input type="text"/>	from	<input type="text"/>	to	<input type="text"/>
\$ or units	<input type="text"/>	from	<input type="text"/>	to	<input type="text"/>

Do you wish to switch your regular investor plan? Yes No

Instructions

1. Insert the word 'ALL' or, if you are not switching your entire holding, the number of units or dollars to be switched. The minimum investment after switching is \$1,000 (\$500 if you have a Regular Investor Plan).
2. Insert the name of the Fund in which you are an investor and from which you wish to switch.
3. Insert the name of the Fund into which you wish to switch.

Please note: If you are making a full investment switch out of a fund then any active adviser service fees for your previous investment will be cancelled. A new adviser fee authority form will need to be submitted for any investments.

A minimum of \$25,000 is required to be invested in each of the Conservative Fund, High Conviction Fund and Moderate Fund.

Step 3: Income payment options

Please tick one box for each Fund you are invested in. Provide account details below for direct deposit.

Australian Ethical funds	Re-invest		Direct deposit
Australian Shares Fund	<input type="checkbox"/>		<input type="checkbox"/>
Balanced Fund	<input type="checkbox"/>		<input type="checkbox"/>
Conservative Fund	<input type="checkbox"/>		<input type="checkbox"/>
Diversified Shares Fund	<input type="checkbox"/>		<input type="checkbox"/>
Emerging Companies Fund	<input type="checkbox"/>		<input type="checkbox"/>
Fixed Interest Fund	<input type="checkbox"/>	OR	<input type="checkbox"/>
High Conviction Fund	<input type="checkbox"/>		<input type="checkbox"/>
High Growth Fund	<input type="checkbox"/>		<input type="checkbox"/>
Income Fund	<input type="checkbox"/>		<input type="checkbox"/>
International Shares Fund	<input type="checkbox"/>		<input type="checkbox"/>
Moderate Fund	<input type="checkbox"/>		<input type="checkbox"/>

Account details

To have your income directly deposited into your Australian bank account, please supply the following details.

Name of financial institution

Branch

BSB number

Account number

Account holder name

Account holder 2 name (if joint account)

Name of foreign bank

Name of Australian correspondent bank

Swift code

Account name

Account number (including Bank ID code)

Step 4: Target Market Determination Questions

! In order to help ensure our Funds are being acquired by the investors they are designed for, we require you to respond to the below questions. This forms part of our obligations under the Design and Distribution Obligations introduced by ASIC in October 2021.

Question 1: Do you understand and accept that the value of your investments will go up and down over time and that our products do not guarantee a return of capital for any Funds, nor do we guarantee that past returns achieved by a Fund will continue into the future?

Yes No

Question 2: What is the minimum time period you intend to invest in the Fund/s you have selected?

- Less than 2 years
 Between 2 and 8 years
 Greater than 8 years

Question 3: How would you describe your risk and return profile for the Fund/s you have elected to invest in with Australian Ethical?

- Low risk and return
 Medium risk and return
 High risk and return
 Very high risk and return

Step 5: Signatures

By completing the Switch Form, I/we declare that:

- the details provided by me/us are true and correct;
- I/we have read the most recent Short Form PDS for the relevant Australian Ethical Managed Fund to which I am/we are requesting a switch;
- if I/we received the Short Form PDS electronically, I/we received the entire Short Form PDS document; and
- no relevant representations by, or on behalf of Australian Ethical, have been made to me/us, other than those in the Short Form PDS
- Certified ID may be requested by Australian Ethical.

! Please note if you are using an electronic signature, this must be signed using OneSpan, DocuSign, or Adobe Acrobat Sign, and the forms will need to be accompanied by a time-stamped certificate.

Signature

Date (DD/MM/YYYY)

Signatory's full name (please print)

Signature

Date (DD/MM/YYYY)

Signatory's full name (please print)

Signature

Date (DD/MM/YYYY)

Signatory's full name (please print)

? If you have any questions, please contact Australian Ethical Managed Funds on 1800 021 227.

Australian Ethical Investment Ltd (ABN 47 003 188 930, AFSL 229949)

T 1800 021 227 | E investors@australianethical.com.au | W australianethical.com.au