

# Withdrawal form

## For Managed Funds investors

This form relates to the withdrawal from an investment in the Australian Ethical Managed Funds. It is to be read in conjunction with the Product Disclosure Statement for the relevant Australian Ethical Managed Fund current at the date of signing this form and available on our website.

Australian Ethical Investment Ltd (ABN 47 003 188 930) is the responsible entity and holder of an Australian Financial Services Licence (No. 229949).

Please use **BLOCK LETTERS** and **black ink**.

Send your completed form to:

[investors@australianethical.com.au](mailto:investors@australianethical.com.au)

or Australian Ethical Investment Ltd,  
Reply Paid 3993, Sydney, NSW, 2001

### Step 1: Investor details

Investor number

#### Investor 1

Title

Mr  Mrs  Ms  Miss  Other, please specify

Surname

Full given name(s)

#### Investor 2

Title

Mr  Mrs  Ms  Miss  Other, please specify

Surname

Full given name(s)

#### Investor 3

Title

Mr  Mrs  Ms  Miss  Other, please specify

Surname

Full given name(s)

## Step 1: Investor details (continued)

### Non-individual investors

Full name of company/partnership/trustee/other entity

Address

City

State

Postcode

Country

Work phone

Home phone

Mobile phone

## Step 2: Managed fund details

If you are not redeeming all of your units, please ensure that you maintain the required minimum investment balance – \$1,000 for all Funds (\$500 if you have a regular investment plan in that fund). If your investment in a wholesale fund falls below the wholesale threshold (as the result of a withdrawal), your investment may be reclassified to the retail class.

Name of the fund	Units to the \$ value of	Number of units	All units
Australian Shares Fund	\$ <input type="text"/>	<input type="text"/>	<input type="checkbox"/>
Balanced Fund	\$ <input type="text"/>	<input type="text"/>	<input type="checkbox"/>
Conservative Fund	\$ <input type="text"/>	<input type="text"/>	<input type="checkbox"/>
Diversified Shares Fund	\$ <input type="text"/>	<input type="text"/>	<input type="checkbox"/>
Emerging Companies Fund	\$ <input type="text"/>	<input type="text"/>	<input type="checkbox"/>
Fixed Interest Fund	\$ <input type="text"/>	OR <input type="text"/>	OR <input type="checkbox"/>
High Conviction Fund	\$ <input type="text"/>	<input type="text"/>	<input type="checkbox"/>
High Growth Fund	\$ <input type="text"/>	<input type="text"/>	<input type="checkbox"/>
Income Fund	\$ <input type="text"/>	<input type="text"/>	<input type="checkbox"/>
International Shares Fund	\$ <input type="text"/>	<input type="text"/>	<input type="checkbox"/>
Moderate Fund	\$ <input type="text"/>	<input type="text"/>	<input type="checkbox"/>

### Regular investment plan

Place an 'X' in the box to cease your regular investment plan. If the box is not crossed, your regular investment plan will continue.

Subject to the Product Disclosure Statement, the withdrawal payment will generally be made within seven business days of us receiving a correctly completed request. Forms received after 3pm or on a day other than a business day will be effective on the next business day. Withdrawal requests received immediately after the end of a half or full financial year may be delayed.

### Step 3: Direct deposit

Withdrawals must be paid into the account of the investment holder.

- ❗ **Identification may be required:** A certified copy of your drivers licence or passport is required if the bank account details entered below do not match those currently recorded on your account. See step 5 for additional instructions.

#### Payment type

Name of financial institution

Branch

BSB number

Account number

Account holder name\*

Account holder 2 name (if joint account)

\*Please ensure that the bank account nominated is held in your name or in a joint account including your name.

If you are a New Zealand investor, please complete these details. Funds will be paid in Australian dollars (AUD). Any transaction or currency conversion costs are deducted from the AUD amount transferred.

Name of foreign bank

Name of Australian correspondent bank

Swift code

Account name

Account number (including Bank ID code)

### Step 4: Reason for your withdrawal

We value your feedback and would appreciate it if you could take a moment to let us know the reason for your withdrawal.

Select the box that most suits your reason for withdrawing.

Personal reasons

Performance

Ethical concerns

Service

Fees

Starting a self-managed super fund

Other (please state in comments)

## Step 5: Identification

- ❗ Withdrawals can only be paid to a bank account recorded on your account. If the bank account details entered in step 3 are different to that currently on your account you will need to submit a certified copy of your identification (drivers licence or passport) with this form.

If you are unsure of the bank account registered on your account please contact us.

Please contact us for identification documents which can be provided as a substitute for drivers licences or passports.

### Who can certify your ID?

- a permanent employee of Australia Post who has two or more years of continuous service
- an officer of a financial institution, such as a bank, who has two or more years of continuous service
- a legal practitioner (such as a solicitor) who is enrolled on the roll of the Supreme Court of a state or territory, or the High Court of Australia
- a Justice of the Peace
- a registered Pharmacist

Additional certification options are available. Please contact us on [investors@australianethical.com.au](mailto:investors@australianethical.com.au) or 1800 021 227 for assistance.

## Step 6: Signature/s

I/we being the named transferee(s) below, hereby agree to be bound by the provisions of the Constitution and the Product Disclosure Statement applicable to the investment which is available on [australianethical.com.au/managed-funds/pds](http://australianethical.com.au/managed-funds/pds).

For joint investments all investors must sign.

- ❗ Please note we currently do not accept electronic signatures on forms. To avoid delays or having to complete this form again, ensure you sign the documents with a traditional signature (either wet ink or using a digital pen.)

Signature

Date (DD/MM/YYYY)

Signatory's full name (please print)

Signature

Date (DD/MM/YYYY)

Signatory's full name (please print)

Signature

Date (DD/MM/YYYY)

Signatory's full name (please print)

❓ If you have any questions, please contact Australian Ethical on 1800 021 227.