

Switch form

For Managed Funds investors

A switch operates as the redemption of units in one managed fund and the investment of the proceeds in another fund. As such, it usually constitutes a realisation for capital gains tax purposes. Investors should consider this when making a decision to switch. You can switch all or part of your investment between the Australian Ethical Managed Funds.

You must read the relevant Australian Ethical Managed Fund Product Disclosure Statement prior to investing available at australianethical.com.au/managed-funds/pds.

Please use **BLOCK LETTERS** and **black ink**.

Send your completed form to:

investors@australianethical.com.au

or Australian Ethical Investment Ltd,
Reply Paid 3993, Sydney, NSW, 2001

Step 1: Investor details

Investor number

Investor 1

Title

Mr Mrs Ms Miss Other, please specify

Surname

Full given name(s)

Investor 2

Title

Mr Mrs Ms Miss Other, please specify

Surname

Full given name(s)

Investor 2

Title

Mr Mrs Ms Miss Other, please specify

Surname

Full given name(s)

Step 1: Investor details (continued)

Non-individuals (company, partnership, trust)

Title

Mr Mrs Ms Miss Other, please specify

Surname

Full given name(s)

Legal name of entity

ABN (if applicable)

Address

Address

City

State

Postcode

Country

Work phone

Home phone

Mobile phone

Step 2: Switch details

I/ we, being an investor(s) in the Australian Ethical Managed Funds request that you switch:

	Amount		Fund name		Fund name
\$ or units	<input type="text"/>	from	<input type="text"/>	to	<input type="text"/>
\$ or units	<input type="text"/>	from	<input type="text"/>	to	<input type="text"/>
\$ or units	<input type="text"/>	from	<input type="text"/>	to	<input type="text"/>

Do you wish to switch your regular investor plan? Yes No

Instructions

1. Insert the word 'ALL' or, if you are not switching your entire holding, the number of units or dollars to be switched. The minimum investment after switching is \$1,000 (\$500 if you have a Regular Investor Plan).
2. Insert the name of the Fund in which you are an investor and from which you wish to switch.
3. Insert the name of the Fund into which you wish to switch.

Please note: If you are making a full investment switch out of a fund then any active adviser service fees for your previous investment will be cancelled. A new adviser fee authority form will need to be submitted for any investments.

Step 3: Income payment options

Please tick one box for each Fund you are invested in. Provide account details below for direct deposit.

Australian Ethical funds	Re-invest		Direct deposit
Income Fund	<input type="checkbox"/>		<input type="checkbox"/>
Fixed Interest Fund	<input type="checkbox"/>		<input type="checkbox"/>
Balanced Fund	<input type="checkbox"/>		<input type="checkbox"/>
High Growth Fund	<input type="checkbox"/>		<input type="checkbox"/>
Diversified Shares Fund	<input type="checkbox"/>	OR	<input type="checkbox"/>
High Conviction Fund	<input type="checkbox"/>		<input type="checkbox"/>
Australian Shares Fund	<input type="checkbox"/>		<input type="checkbox"/>
International Shares Fund	<input type="checkbox"/>		<input type="checkbox"/>
Emerging Companies Fund	<input type="checkbox"/>		<input type="checkbox"/>

Account details

To have your income directly deposited into your Australian bank account, please supply the following details.

Name of financial institution

Branch

BSB number

Account number

Account holder name

Account holder 2 name (if joint account)

Name of foreign bank

Name of Australian correspondent bank

Swift code

Account name

Account number (including Bank ID code)

Step 4: Signatures

By completing the Switch Form, I/we declare that:

- the details provided by me/us are true and correct;
- I/we have read the most recent Short Form PDS for the relevant Australian Ethical Managed Fund to which I am/we are requesting a switch;
- if I/we received the Short Form PDS electronically, I/we received the entire Short Form PDS document; and
- no relevant representations by, or on behalf of Australian Ethical, have been made to me/us, other than those in the Short Form PDS.

! Please note we currently do not accept electronic signatures on forms. To avoid delays or having to complete this form again, ensure you sign the documents with a traditional signature (either wet ink or using a digital pen.)

Signature

Date (DD/MM/YYYY)

Signatory's full name (please print)

Signature

Date (DD/MM/YYYY)

Signatory's full name (please print)

Signature

Date (DD/MM/YYYY)

Signatory's full name (please print)

? If you have any questions, please contact Australian Ethical Managed Funds on 1800 021 227.