



# Advised member application form

## For Super

**Important:** This Application Form is for investment in the Australian Ethical Retail Superannuation Fund (Australian Ethical Super) and must not be circulated or distributed uncompleted unless accompanied by a copy of the latest Financial Services Guide (FSG), Product Disclosure Statement (PDS) and Target Market Determination (TMD), which contains information relevant to investing in the Super Fund. You can download a current PDS and related disclosure documentation (Additional Information Booklet(s) and Insurance Guide) at [australianethical.com.au/super/pds](http://australianethical.com.au/super/pds)

**Send your completed form to:**

Australian Ethical Super, GPO Box 3117  
Brisbane QLD 4001

Or email a digital copy of your form to:  
[advisers@australianethical.com.au](mailto:advisers@australianethical.com.au)

Please use **BLOCK LETTERS** and **black ink**.

**!** If you make any amendments or corrections on the form due to an error, please acknowledge the changes by putting your initials and date on where the changes have occurred, otherwise the application will be invalid.

### Step 1: Personal details

Title  
 Mr  Mrs  Ms  Miss  Other, please specify

Full given name(s)  Date of birth (DD/MM/YYYY)

Surname  Email\*

Mobile phone  Home phone

Work phone

Sex at birth  Male  Female **!** We need to collect information about the chromosomal sex at birth of our members because this affects the overall cost of default group insurance cover which is automatically available to all members of the Fund. We understand sex at birth is different to gender identity.

\*By providing my email and mobile number, I agree to be notified of and receive important disclosure documents and member communications electronically (which may include email, SMS, a link to a website, an application or other online channels). You can change your communications preferences in client access on our website, or by calling us.

## Step 1: Personal details (continued)

### Residential address (PO Box is NOT acceptable)

Address

Suburb

State

Postcode

Country

### Postal address (If different to residential address)

Address

Suburb

State

Postcode

Country

### Tax File Number (TFN)

Tax File Number

Under the Superannuation Industry (Supervision) Act 1993, Australian Ethical Super is authorised to collect, use and disclose your tax file number (TFN). The Trustee of Australian Ethical Super may disclose your TFN to another super provider, when your benefits are being transferred, unless you request the Trustee in writing that your TFN not be disclosed to any other super provider. Declining to quote your TFN to the trustee is not an offence. However giving your TFN to your super fund will have the following advantages:

- Australian Ethical Super will be able to accept all permitted types of contributions to your account(s);
- other than the tax that may ordinarily apply, you will not pay more tax than you need to - this affects both contributions to your super and benefit payments when you start drawing down your super benefits;
- it will make it much easier to find different super accounts in your name so that you receive all your super benefits when you retire;
- the Trustee will be able to use the ATO SuperTICK service to verify your TFN, name and date of birth; and upon your request, the Trustee will be able to find and consolidate your other super into your Australian Ethical Super account using the ATO's Super Match service

## Step 2: Selecting your investment options

Select your preferred investment option or spread of options. You can make changes in your member portal at any time once your account is set up.

If no selection is made your super will be invested in the Balanced (accumulation) option.

Options	Percentage*
Defensive	<input type="text"/> %
Conservative	<input type="text"/> %
High Growth	<input type="text"/> %
Balanced (accumulation)	<input type="text"/> %
Growth	<input type="text"/> %
International Shares	<input type="text"/> %
Australian Shares	<input type="text"/> %
<b>TOTAL</b>	<b>100%</b>

\* Ensure that your investment selections total 100% and that each option is in whole percentages.

### Step 3: Default Cover

Default Death and TPD Cover will automatically start when you reach a balance of at least \$6,000 and are at least 25 years or older (but under 65), provided you don't have an 'inactive' account and you have not cancelled cover previously held through Australian Ethical Super. The level of Default Cover depends on your age.

**Note:** You will have an 'inactive' account if you have not received any contributions or rollovers into your account for at least 16 months or more whilst you are a member.

**Important:** If you receive Default Cover and do not opt out of the cover, a premium based on your level of cover, age, sex at birth and occupation category will be automatically deducted from your account at the end of the month.

You can see what the rates and levels of cover are in the [Insurance Guide](#), as well as important terms, conditions and limitations of cover. If you don't want to wait to meet these two conditions and want insurance now, you can choose to opt-in below.

**Opt-in to Insurance before cover starts automatically:**

I want to opt-in now to have Default Death & TPD Cover even if I am aged under 25 (but at least 15 and under 65) and/or my account balance is less than \$6,000 (valid election). I have not previously held any Death & TPD insurance cover with Australian Ethical Super.

### Occupation categories

Your occupation category determines the premium rates that applies to you.

**There are five occupation categories:**

- Professional
- White Collar
- Light Manual
- Manual
- Heavy Manual

**The occupation category that applies to you depends on your responses to the questionnaire below.**

**!** Please select the category that applies to you.

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<input type="checkbox"/> <b>Professional</b>	<p>You only work within an office or similar environment and your duties do not involve any manual work or teaching and:</p> <ul style="list-style-type: none"><li>• you earn more than \$100,000 p.a. (before tax); and</li><li>• you hold tertiary qualifications, or are a registered member of a professional institute or governing body in relation to your job, or work as a member of the executive leadership team with your employer.</li></ul> <p>Examples include: Accountant, Lawyer/Solicitor, Medical Doctor</p>
<input type="checkbox"/> <b>White Collar</b>	<p>You only work in an office or similar environment and your duties include managerial, administrative or clerical activities:</p> <ul style="list-style-type: none"><li>• but your job does not involve any manual work or teaching; and</li><li>• you earn less than \$100,000 p.a. (before tax).</li></ul> <p>Examples include: Bank Teller, Administrative Assistant, Book-keeper</p>
<input type="checkbox"/> <b>Light manual</b>	<p>You perform light manual skilled work, a classroom teaching role, or trade qualified working in a non-hazardous industry.</p> <p>Examples include:</p> <ul style="list-style-type: none"><li>• Classroom Teacher including PE or Music Teacher</li><li>• Registered Childcare Worker</li><li>• Qualified tradespeople such as Electricians or Landscaper in a domestic environment</li><li>• Trade occupations in an office environment such as Equipment Repair Person</li><li>• Occupations involving light manual work such as Café owner, retail sales or travelling sales</li><li>• Technical occupations requiring field work greater than 20% involving light manual work such as Insurance Assessor, Building Inspector or Surveyor</li><li>• Occupations involving the supervision of manual work such as Building Foreman</li></ul>

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- Manual** You are tertiary or trade qualified for your job, performing moderate to heavy manual work or operate heavy machinery, not in a high-risk occupation<sup>^</sup>:  
 Examples include: Sheet Metal Worker, Mechanic, Plumber, Commercial Electrician  
<sup>^</sup>High risk occupations include:
- Working at heights or underground
  - Working in any occupation that exposes you to danger, such as Firefighter or Pilot
  - Working with firearms, such as Police Officers
  - Working in heavy manual occupations that do not require tertiary or trade qualifications such as Labourer, Warehouse Worker, Brick Layer, Factory Worker
  - Working as an inter-state Bus or Truck Driver
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- Heavy manual** You perform moderate to heavy manual work or operate heavy machinery or you are not trade or tertiary qualified for your current job or you work in a high-risk occupation<sup>^</sup>:  
 Examples include: Prison Guard, Removalist, Carpenter  
<sup>^</sup>High-risk occupations include:
- Working at heights or underground
  - Working in any occupation that exposes you to danger, such as Firefighter or Pilot
  - Working with firearms, such as Police Officers
  - Working in heavy manual occupations that does not require tertiary or trade qualifications such as Labourer, Warehouse Worker, Brick Layer, Factory Worker
  - Working as an inter-state Bus or Truck Driver

**Opt-out of Default Death and TPD Cover before it starts automatically**

**Opt-out of Insurance**

Please note that by selecting this option, you have opted out of receiving Default Death and TPD Cover once you meet the eligibility criteria (aged 25 and under 65 and have reached \$6,000 in your super account).

If you choose to have cover in the future, it will be subject to a health assessment and acceptance by the insurer. You may not be able to get cover at all or it may be subject to exclusions or loadings.

**Step 4: Super you are transferring from**

This section allows applicants to transfer existing superannuation into their new account with Australian Ethical Super. If you intend to roll your super into Australian Ethical Super, please fill in the details of your current super fund and the amount you intend to transfer across.

**Fund 1**

Name of fund

Member number

USI

ABN

ESA\*

Is this a full transfer?  Yes  No

If no, the amount to be transferred is

**Fund 2**

Name of fund

Member number

USI

ABN

ESA\*

Is this a full transfer?  Yes  No

If no, the amount to be transferred is

\* If you are transferring super from a Self Managed Super Fund (SMSF), Australian Ethical must use SuperStream to roll over your super benefits. This means your SMSF will need an electronic service address (ESA) and Australian business number (ABN). You can get an ESA from an SMSF messaging provider or through your SMSF intermediary/administrator.

\*\* If you wish to transfer your current existing insurance cover across to Australian Ethical Super, please complete the Transfer of Insurance Form before requesting the rollover into Australian Ethical Super. Once the transfer of cover has been accepted, then you can commence rolling your funds in your existing super account into Australian Ethical Super.

**Step 5: Adviser, Nominee and Dealer Group details (together, the 'Advice Entities')**

<p>Adviser full name</p> <input style="width: 100%; height: 25px;" type="text"/>	<p>Adviser Company Name</p> <input style="width: 100%; height: 25px;" type="text"/>
<p>Email (personalised)</p> <input style="width: 100%; height: 25px;" type="text"/>	<p>Adviser Company Address</p> <input style="width: 100%; height: 25px;" type="text"/>
<p>Direct line</p> <input style="width: 100%; height: 25px;" type="text"/>	<input style="width: 100%; height: 25px;" type="text"/>
<p>Mobile</p> <input style="width: 100%; height: 25px;" type="text"/>	<p>Company ABN</p> <input style="width: 100%; height: 25px;" type="text"/>
<p>ASIC Financial Adviser / Authorised Representative Number</p> <input style="width: 100%; height: 25px;" type="text"/>	
<p>Dealer Group Name</p> <input style="width: 100%; height: 25px;" type="text"/>	
<p>Dealer Group AFSL</p> <input style="width: 100%; height: 25px;" type="text"/>	

**Type of Authority**

- I want to set up a new adviser service fee authority Complete steps 6, 7a, 7b and 8
- Note: if you currently have an adviser service fee set up, your new adviser service fee arrangement will only commence once the previous one has ended.
- I only want to set up a third party information authority Complete step 7b and 8
- Note: this will only update your third-party information authority. If you have an adviser service fee in place, this will remain as is.

**Step 6: Adviser service fee**

Your adviser (and your adviser's Dealer Group) is requesting your consent to arrange for the deduction of an advice fee from your account(s) for their advice and related services, as set out below.

If you give your consent by this form, you will also give your consent to Australian Ethical Super to deduct the relevant advice fee from your account to pay your adviser (or your adviser's Dealer Group). If you are not happy with the amount or the service being offered by your financial adviser, you do not have to sign this consent.

If you sign this consent, the following fee will be deducted proportionately from your investment options in your account at the end of the month. If there are insufficient funds in your account at deduction date, the adviser service fee will be deducted at the end of the month when sufficient funds are first available to pay the full amount of the fee.

**Please ensure the amount you insert below is the annual amount (if fee being made in instalments) and is inclusive of GST.**  
**If you are paying a one-off lump sum fee, please ensure there are sufficient funds in your account to fully pay for this fee in the first 4 months of opening your account otherwise the fee will expire.**

<p><b>One-off fee paid as a lump sum</b></p> <p>Maximum per annum          - \$8,000 (incl. GST)    \$ <input style="width: 100px;" type="text"/> (incl. GST)</p>	<p><b>One-off fee paid in monthly instalments over a fixed term*</b></p> <p>Maximum per annum          - \$8,000 (incl. GST)    \$ <input style="width: 100px;" type="text"/> per annum (incl. GST)</p>
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\*Fixed term of 12 months. Fees are deducted monthly in arrears. The pro rata fee due in the last month is paid in advance and is brought forward to the month prior. For new accounts the fee will commence from Date Joined Fund.

### Your fee consent expiry or withdrawal

How long consent will last – Your fee consent expires once the full amount of the one-off fee has been deducted from your account, provided that the relevant arrangement with your adviser (or your adviser's Dealer Group) has not terminated and you have not withdrawn this consent prior to that date. This means your financial adviser will have to ask for your consent again if Australian Ethical Super is to deduct fees from your account for further advice in the future.

Withdrawal of consent - You can withdraw your consent to the deduction of adviser service fees from your account by contacting us using the contact details on the final page of this form, at any time prior to the fee being deducted from your account. If your account is closed or we are notified of any relevant changes to the arrangements or details outlined in this form, your advice fee will stop, with the final fee deduction being a pro-rata calculation based on the date the consent withdrawal is received.

### Your financial advisers' obligations

Your financial adviser will provide you with financial advice for the fee set out in this consent form. As part of this advice, your adviser will have provided you with a Statement of Advice which may cover, amongst other things the suitability of your existing superannuation arrangements and whether you will have enough super for your retirement.

## Step 7: Adviser declaration and Signature

### Step 7a – Where an adviser service fee is charged:

As the financial adviser for this member, I declare that:

- The adviser service fee being requested is in relation to the member's account held with Australian Ethical Super and
- I have provided this member with a Statement of Advice which includes the following service/s related to this fee (please tick types of services provided):
  - The suitability of this member's existing superannuation arrangements
  - whether the member will have an adequate superannuation fund balance for their retirement.
  - Other (please describe these services):

By arranging for the deduction of the advice fee detailed in this form, the Advice Entities requesting this consent confirm and agree that:

- The proposed adviser service fee(s) and the details provided in this form are consistent with the terms of the arrangement between the Advice Entities and the member and there is no other agreement or arrangement with the member that may be inconsistent with the details provided in this consent. To the extent of any inconsistency between the terms of the existing arrangement between the Advice Entities and the member and the details in this form, this form prevails;
  - The Trustee of Australian Ethical Super is not a party to the above arrangements and is not liable for any losses which may arise therefrom.
  - The Advice Entities will immediately inform Australian Ethical Super of any changes to the arrangement with the member that may be inconsistent with the details provided in this consent;
  - The services listed in this form have been or will be provided in accordance with the terms of the arrangement with the member and this consent;
  - The proposed Adviser service fee amount is a reasonable amount for the proposed services listed below;
  - Where the account is a superannuation account, the services provided will only be in relation to the member's super account
  - Once the member has signed the form, the Advice Entities authorise for the signed form to be provided to Australian Ethical Super
  - the Adviser will still have additional obligations under the Dealer Group/Adviser terms and conditions (as applicable).
- I will be responsible for making necessary arrangements directly with the relevant dealer group to recoup any overpayments that have been made to them and to direct it to the correct entity in relation to fees for advice referred to in this form.
- I understand the adviser service fee deduction will stop if the account is closed, if the consent is withdrawn or there have been any changes to the arrangements nominated in this form requiring a new form to be signed.
- If any payments for the adviser service fee are outstanding, I will contact my client directly to arrange a new agreement/payment and neither the Trustee nor Australian Ethical Super will be liable for the payment of any outstanding fees.
- If payments for the adviser service fee have been made to the incorrect dealer group due to a failure to provide Australian Ethical Super with updated information, neither the Trustee nor Australian Ethical Super will have any obligation in relation to those payments.
- I understand the Trustee may be in contact with me in relation to this advice fee arrangement and may seek confirmation that services have been provided and may request an extract of the Statement of Advice, if required.
- I confirm that any advice provided to this member in relation to Australian Ethical's Superannuation products has taken into consideration the Target Market Determination available at [www.australianethical.com.au/super/pds-forms/](http://www.australianethical.com.au/super/pds-forms/) and this advice is consistent with the proposed acquisition of the product.

## Step 7b – Where there is an information authority:

- I agree to keep the details required to access the digital portal secure (e.g. username and password) and will notify Australian Ethical Super immediately in the event of any actual or suspected loss or misuse of those details, as well as any actual or potential unauthorised access to the member portal.
- I agree to keep the member's personal information private and confidential and to only use their personal information for the purposes of providing them with financial advice.
- I understand that I am not authorised to change the member's personal contact details, give any instructions or carry out any transactions on the member's behalf, including switching investment options, making contributions, requesting a rollover or making lump sum withdrawals.
- I understand that I am liable for all actions of my support staff who act on my behalf.
- I understand my personal information will be held in accordance with the Privacy Policy available at [australianethical.com.au/privacy-policy](http://australianethical.com.au/privacy-policy)

Please note, as the adviser, you must sign and date this form before the client. If the date you've signed this form is after the client, this form will be rejected and a new form will be required.

- ❗ Please note if you are using an electronic signature, this must be signed using OneSpan, DocuSign, Annature or Adobe Acrobat Sign, and the forms will need to be accompanied by a time-stamped certificate.

Adviser Signature

Adviser full name (please print)

Date (DD/MM/YYYY)

## Step 8: Declaration (to be completed by member)

By completing the Application Form I declare that:

### My application is lawful because

- All the details I have provided for this application are true and correct.
- I received and accepted this offer in Australia.

### I have made an informed decision because

- I have read the PDS to which this application applies.
- If I have received the PDS from the internet or by other electronic means, I have received the entire document.

### I am responsible for my decision to make this application, and

- I acknowledge that no representation has been made to me by or on behalf of Australian Ethical other than those contained in the PDS.
- I am not making this application because of an unsolicited meeting with or phone call from another person.

### I am aware that Australian Ethical Super will have records of my personal information, and consent to my information being used and/or disclosed to:

- administer my investment;
- provide information to me;
- conduct market research and analysis;
- develop products;
- meet regulatory obligations;

- inform the licensee or adviser I have indicated on the Application Form, until I notify you otherwise.\*

\* Our Privacy Collection Notice (Super) available online outlines more information on how we collect, secure, use and disclose your personal information. For more information, view our Privacy Policy: [australianethical.com.au/privacy-policy](http://australianethical.com.au/privacy-policy)

### Providing my TFN

- If I have provided my TFN at Step 1, I declare that I have read the important information about my tax file number and consent to providing my TFN for the legal purposes stated, including finding and amalgamating my superannuation benefits, providing information to the ATO, and providing information to another superannuation fund if I transfer my benefits.

### Payments to my nominated financial adviser

- If this application is made through a financial adviser, and I have authorised the adviser to receive payment by way of an adviser service fee, I understand this fee will be deducted from my investment. Please complete the adviser services form if you would like to nominate a third party information authority and/or adviser service fee.

### I am authorised to sign this application form because

- If this application is signed by me under a Power of Attorney, I declare that I have not received notice of revocation of that power and I hereby supply a certified copy of the Power of Attorney.

## I have read all the terms and conditions contained in the Product Disclosure Statement and, in particular

- I agree to the offer contained in the PDS and to be bound by the provisions of the Trust Deed that governs Australian Ethical Super.
- I understand that Australian Ethical has the right to reject any applications, switches or regular contributions.
- I understand that by electing to use the phone and/or email facilities, I agree that Australian Ethical will not be responsible to me for any fraudulently completed, incorrect or incomplete communications and that Australian Ethical will not compensate me for my losses. I also release and indemnify Australian Ethical against any liabilities whatsoever arising out of Australian Ethical acting on any communications received by phone or email.
- I understand the nature of risk attached to the investments I am applying for and acknowledge that neither Australian Ethical, nor the Trustee of the Fund guarantee a return of capital or the performance of my investment.

### Your insurance

- I understand that I will automatically receive Default Death and TPD insurance cover when I have a balance of at least \$6,000 in my account and am at least 25 or older (but under 65) provided I don't have an 'inactive' account and I haven't cancelled cover previously held through Australian Ethical Super.
- I acknowledge that I have read and understood the Insurance Guide available online and agree to all terms, conditions and provisions therein relating to Default Cover such as the type of cover, eligibility, costs, when cover commences or ceases and how cover may exclude pre-existing conditions.
- (if my opt in application is accepted) I direct Australian Ethical Super to accept this as a *valid election* to be provided with insurance cover even if my account is an *inactive* account, if I am under 25 years of age (but under 65) and/or my account has not had a minimum balance of \$6,000.
- I understand this election will apply to Default Cover, which comprises of Death and TPD insurance.
- I understand the cost of my insurance cover will continue to be deducted from my super account on a monthly basis. If there isn't enough money in my super account to cover the cost of insurance, my cover will be canceled.
- I understand that I can cancel or change my insurance at any time.
- I acknowledge that if I previously held Default Cover within the fund, I am ineligible to obtain Default Cover again, therefore this application will be invalid. Combining super accounts

## Combining super accounts

### Does your employer pay into your other super account?

Using this form to transfer your benefits will not change the fund to which your employer contributes your Superannuation Guarantee contributions. If you would like your employer to contribute to your Australian Ethical Super account, speak to them about choice of fund.

### Things to consider when transferring your super

When you transfer your super from another fund, your entitlements under that fund may cease. You need to consider all relevant information before you make a decision to transfer your super. If you ask for information, your super provider must give it to you. Some of the points you may consider are:

- Fees - your transferring fund (old fund) must give you information about any exit fees. If you are not aware of the fees that may apply, you should contact your fund for further information before completing this form. The fees could include administration fees as well as exit fees. Your TO fund may also charge entry or deposit fees on transfer. Differences in fees funds charge can have a significant effect on what you will be have to retire on. For example, a 1 per cent increase in fees may significantly reduce your final benefit.
- Insurance benefits - your transferring fund (old fund) may insure you against death, illness or an accident which leaves you unable to return to work. If you choose to leave your current fund, you may lose any insurance entitlements you have. However, you may be able to transfer your insurance to your Australian Ethical Super account. Please call us for more information about transferring your insurance. For more details on insurance in Australian Ethical Super, please read our Product Disclosure Statement.

### Have you changed your name or are you signing on behalf of another person?

If you have changed your name or are signing on behalf of the applicant, you will need to provide a certified linking document. A linking document is a document that proves a relationship exists between two (or more) names.

Suitable linking documents for the following purposes:

- change of name – marriage certificate, deed poll or change of name certificate from the births, deaths and marriages registration office
- signed on behalf of the applicant – guardianship papers or power of attorney.

### Instruction to your existing fund (the from fund) records of my personal information, and consent to my information being used and/or disclosed to:

I/we hereby request that you transfer the value of the transferring super fund to the Australian Ethical Retail Superannuation fund, a complying superannuation fund. Please provide all information to the trustee, Australian Ethical Superannuation Pty Ltd (ABN 43 079 259 733) and forward payments to: Australian Ethical Super, GPO Box 3117 Brisbane QLD 4001. By signing this section of the form I am making the following statements:

- I declare that I have fully read this form and the information completed is true and correct.
- I am aware I may ask my superannuation provider for information about any fees or charges that may apply, or any other information about the effect this transfer may have on my benefits, and do not require any further information
- I discharge the superannuation provider of my from fund of all further liability in respect of the benefits paid and transferred to my to fund. I request and consent to the transfer of superannuation as described above and authorise the superannuation provider of each fund to give effect to the transfer.

## Third party information authority

By signing this form I am making the following declaration:

- I declare that the information I have provided on this form is true and correct.
- I understand that Australian Ethical Super may provide information relating to my account in writing, verbally or through a secure digital portal to the adviser (and nominee(s)) on this form and their support staff when requested by the adviser.



- I understand that this authority provides consent to my adviser and their support staff to access read-only information on all my existing Australian Ethical Superannuation and/or Pension accounts.
- I understand this nomination will remain in effect until I or my adviser provides notice to revoke the authority, even if the adviser service fee consent has expired or been revoked.
- I agree that neither the Trustee nor Australian Ethical Super is responsible for any loss or delay which results from Australian Ethical Super providing information to my adviser pursuant to this authority.
- I understand once Australian Ethical Super receives the completed form, they will provide my nominee (indicated in step 2), and their support staff with information (oral, written or electronic) in relation to my Australian Ethical Super account as if the request was made by me.
- I understand the nominated person(s) will not be authorised to change my personal contact details, give any instructions or carry out any transactions on my behalf, including switching investment options, making contributions, requesting a rollover or making lump sum withdrawals. Personal information such as my tax file number and bank account details will not be released to the nominated person under any circumstances.
- I understand although my nominated person(s) has 'read only' access through the adviser portal, I acknowledge that by allowing my adviser and their support staff access to my personal information, there is an increased risk that my personal information could be used in unauthorised ways.
- I agree to release, discharge and indemnify the Trustee and/or Australian Ethical Super from and against all actions, claims, demands, expenses and liabilities (however they arise) suffered by myself or suffered by or brought against the Trustee and/or Australian Ethical Super, in respect of the information given by Australian Ethical Super to the nominated person pursuant to this authority.
- I agree and understand that I have directly engaged the dealer group and/or the adviser to provide financial services. I agree that the Trustee or Australian Ethical Super is not a party to that arrangement and is not liable for any loss which results from that arrangement.
- I declare that I, the member named in this form, have read and agree to these terms and conditions of appointing the adviser and nominee (if applicable) to have the authority to access my information.
- I agree that the neither the Trustee nor Australian Ethical Super will be held liable for any loss arising from: any failure by an adviser to keep their password secure and confidential; and/or any fraud or inappropriate action taken by an adviser (or a person purporting to be an adviser) as a result of using information held about me.

## Adviser service fee consent

- I have directly engaged the adviser and/or the Dealer Group for the advice services to be provided to me and I consent to Australian Ethical Super deducting the fees from my super account and paying them to the Dealer Group.
- I understand that I can vary/withdraw my consent at any time before the fee is deducted.
- In the event that the adviser and/or Dealer Group notify Australian Ethical Super of an assignment of its/their rights under the fee agreement, I authorise Australian Ethical Super to pay the fees to the new adviser and/or dealer group (on the basis that they will provide any outstanding services owed to me). Unless I notify you otherwise, this consent will continue to apply to such new adviser and/or dealer group.
- I will notify Australian Ethical Super if I do not receive the services listed above, or an inconsistency arises between the details in this form and my agreement with the adviser and/or Dealer group.
- If a transaction is pending on my account when this consent is withdrawn, the transaction will need to be processed first before the adviser service fee consent is removed.
- If there are insufficient funds in my account on the deduction date, the adviser service fee will be deducted at the end of the month when sufficient funds are first available to pay the full amount of the fee.
- If I am paying a one-off lump sum fee, I understand if there are insufficient funds in my account to fully pay this fee in the first 4 months of opening my account then the fee will expire.
- I understand that an adviser fee request will be rejected if the maximum annual limit has been reached, or if the requested payment breaches this limit.
- I understand and agree that if there are any changes to the adviser, adviser company or dealer group or other details specified in this form, a new Adviser third party information and fee authority form may need to be completed, upon request.  
I understand Australian Ethical Super will cease payment of fees under this authority if a new fee authority is requested.
- I understand the adviser service fee deduction will stop if my account is closed, if the consent is withdrawn or there have been any changes to the arrangements nominated in this form requiring a new form to be signed.
- If a payment for the adviser service fee is outstanding, I will contact my adviser or the dealer group (as required) directly to arrange a new agreement/payment and neither the Trustee nor Australian Ethical Super will be liable for the payment of any outstanding fees.
- I declare that the adviser service fee only relates to advice about superannuation.

### Step 9: Signature (to be completed by member)

I have read, understood and agree to the above declaration.

**i** Please note if you are using an electronic signature, this must be signed using OneSpan, DocuSign, Annature or Adobe Acrobat Sign, and the forms will need to be accompanied by a time-stamped certificate.

Member / Client signature

X

Member / Full name (please print)

Date (DD/MM/YYYY)