

Superannuation – Recipient Created Tax Invoice (RCTI) Agreement

Australian Ethical Super

Important: If you make any amendments or corrections on the form due to an error, please acknowledge the changes by putting your initials and date on where the changes have occurred, otherwise the application will be invalid.

Send your completed form to:

Australian Ethical Super, GPO Box 3117
Brisbane QLD 4001

Or send an email to:

advisers@australianethical.com.au

This agreement is made on (date) between

Australian Ethical Superannuation Pty Ltd (ABN 43 079 259 733, RSE L0001441, AFSL 526 055) in its capacity as trustee of the Australian Ethical Retail Superannuation Fund (ABN 49 633 667 743, USI/SPIN AET0100AU) (**Australian Ethical Super**).

and (Dealer Group)

for the provision of superannuation adviser services pursuant to separate agreements between Australian Ethical Superannuation Pty Ltd, Australian Ethical Super members and Advisers within the Dealer Group (**Superannuation Adviser Services**). Fees will then be payable, and may be aggregated, pursuant to each separate Australian Ethical form completed by Australian Ethical Superannuation Pty Ltd, respective Australian Ethical Super member and their adviser within the Dealer Group (**Adviser Services Fee**).

The Dealer Group acknowledges that:

1. The Dealer Group will not issue tax invoices in respect of the RCTI supplies.
2. The Dealer Group is registered for GST at this time, and will notify Australian Ethical Superannuation Pty Ltd if it ceases to be registered.
3. The Dealer Group acknowledges that if Australian Ethical Superannuation Pty Ltd receives notification of the cancellation or withdrawal of consent of an Adviser Services Fee arrangement by the relevant adviser or member/client, or a member account is closed, Australian Ethical Superannuation Pty Ltd will not be liable for the payment of any outstanding Adviser Service Fee under this agreement.
4. The Dealer Group agrees that if any overpayments of an Adviser Service Fee have been made to the Dealer Group for the provision of Superannuation Adviser Services, the Dealer Group agrees to cooperate with the relevant adviser and member or client, to ensure any overpayments of an Adviser Service Fee are directed to the correct entity. Australian Ethical Superannuation Pty Ltd will have no obligation or liability with respect to the reallocation of an Adviser Service Fee where it has acted correctly pursuant to this agreement.
5. Where an adviser has left the Dealer Group and the Dealer Group is in receipt of any Adviser Service Fee referable to that adviser from Australian Ethical Superannuation Pty Ltd, the Dealer Group agrees to remit any such Adviser Service Fee to the adviser who has left the Dealer Group and indemnifies Australian Ethical Superannuation Pty Ltd for any additional taxes, costs or expenses that result from the adviser leaving the Dealer Group.

The Dealer Group and Australian Ethical Superannuation Pty Ltd acknowledge that:

1. The Superannuation Adviser Services made by the Dealer Group are taxable supplies and are RCTI supplies for the purposes of this RCTI Agreement.
2. Australian Ethical Superannuation Pty Ltd will issue tax invoices in the form of recipient created tax invoices in respect of the RCTI supplies.
3. The parties agree to comply with all requirements of the GST law, legislative determinations and Australian Taxation Office public rulings in relation to recipient created tax invoices in respect of the RCTI supplies.
4. Australian Ethical Superannuation Pty Ltd enters into this agreement in its capacity as trustee of Australian Ethical Super and in no other capacity. Subject to applicable law, a liability arising under or in connection with this agreement is limited and may only be enforced against Australian Ethical Superannuation Pty Ltd to the extent to which the trustee is actually indemnified in respect of that liability out of the assets of Australian Ethical Super.



Please complete Dealer Group details:

Dealer Group's ABN

Dealer Group's AFSL

Address

Suburb

State

Postcode

Country

Phone

Fax

Email

Dealer Group Manager details:

The Dealer Group Manager will be set up with an Adviser Portal login to access Advise Fee reports and other Dealer Group level information.

Dealer Group Manager full name

Mobile Phone (personalised)*

Email (personalised)*

Address

* A personalised work mobile phone number and work email address is required so a unique login can be created for the Dealer Group Manager to access the Adviser Portal.

Direct deposit details:

To have your Adviser Service Fee directly deposited into your account, please provide your bank details:

Bank name

Branch

BSB No.

Account number

Account name

Signed on behalf of:

! Please note if you are using an electronic signature, this must be signed using OneSpan, DocuSign, Annature or Adobe Acrobat Sign, and the forms will need to be accompanied by a time-stamped certificate.

Australian Ethical Superannuation Pty Ltd

Signature

X

Name (please print)

Position

Dealer Group Authorised Signatory

Signature

X

Name (please print)

Position

? If you have any questions, please contact Australian Ethical Super on 1800 021 227.