

Switch form

A switch operates as the redemption of units in one managed fund and the investment of the proceeds in another fund. As such, it usually constitutes a realisation for capital gains tax purposes. Investors should consider this when making a decision to switch. You can switch all or part of your investment between the Australian Ethical Managed Funds. However, restrictions apply to switches from the Property Trust. Please contact us if you intend to make a switch from the Property Trust.

You should read the relevant Australian Ethical Managed Fund Product Disclosure Statement prior to investing.

Please use **BLOCK LETTERS** and **black ink**.

Send your completed form to:

Australian Ethical Investment Ltd, Reply Paid 3993, Sydney, NSW, 2001.

Step 1: Investor details

Investor number

Investor 1

Title

Mr Mrs Ms Miss Other, please specify

Surname

Full given name(s)

Investor 2

Title

Mr Mrs Ms Miss Other, please specify

Surname

Full given name(s)

Investor 3

Title

Mr Mrs Ms Miss Other, please specify

Surname

Full given name(s)

Step 1: Investor details continued

Non-individuals (company, partnership, trust)

Title

Mr

Mrs

Ms

Miss

Other, please specify

Surname

Full given name(s)

Legal name of entity

ABN (if applicable)

Address

Street number and name

Suburb

State

Postcode

Country

Work phone number

Home phone number

Mobile phone number

Step 2: Switch details

I/ we, being an investor(s) in the Australian Ethical Managed Funds request that you switch:

\$ or units from to

\$ or units from to

\$ or units from to

Do you wish to switch your regular investor plan? Yes No

1. Insert the word 'ALL' or, if you are not switching your entire holding, the number of units or dollars to be switched. The minimum investment after switching is \$1,000 (\$500 if you have a Regular Investor Plan).
2. Insert the name of the Fund in which you are an investor and from which you wish to switch.
3. Insert the name of the Fund into which you wish to switch.

Step 3: Income payment options

Please tick one box for each Fund you are invested in. Provide account details below for direct deposit.

Australian Ethical funds	Re-invest	Direct deposit
Income Fund	<input type="checkbox"/>	<input type="checkbox"/>
Fixed Interest Fund	<input type="checkbox"/>	<input type="checkbox"/>
Balanced Fund	<input type="checkbox"/>	<input type="checkbox"/>
Advocacy Fund	<input type="checkbox"/>	<input type="checkbox"/>
Diversified Shares Fund	<input type="checkbox"/>	<input type="checkbox"/>
Australian Shares Fund	<input type="checkbox"/>	<input type="checkbox"/>
International Shares Fund	<input type="checkbox"/>	<input type="checkbox"/>
Emerging Companies Fund	<input type="checkbox"/>	<input type="checkbox"/>

Account details

To have your income directly deposited into your Australian bank account, please supply the following details.

Name of financial institution

Branch

BSB number

Account number

Account holder name

Account holder 2 name (if joint account)

***Note:** Please ensure that the bank account nominated is held in your name or in a joint account including your name.

If you are a New Zealand investor, please complete these details.

Name of foreign bank

Name of Australian correspondent bank

Swift Code

Account name

Account number (including Bank ID code)

Step 4: Signatures

By completing the Switch Form, I/we declare that:

- the details provided by me/us are true and correct;
- I/we have read the most recent Short Form PDS for the relevant Australian Ethical Managed Fund to which I am/we are requesting a switch;
- if I/we received the Short Form PDS electronically, I/we received the entire Short Form PDS document; and
- no relevant representations by, or on behalf of Australian Ethical, have been made to me/us, other than those in the Short Form PDS.

Signature

Date

D	D	M	M	Y	Y	Y	Y
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Signatory's full name (please print)

Signature

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Signatory's full name (please print)

Signature

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Signatory's full name (please print)

Step 5: Adviser information (to be completed by the investment adviser, if applicable)

If you use a financial adviser, please have them sign and stamp this section. By stamping this application the financial adviser is confirming that they hold a current AFS Licence and are authorised to deal in and/ or advise on managed investment products.

Adviser details

Adviser name

Address

Phone

Fax

Dealer stamp

Dealer group

Email address

Dealers or advisers stamping and/or signing this document do so on the understanding that Australian Ethical has no agency relationship with any broker or adviser and that no broker or adviser acts under Australian Ethical's authority.

Adviser service fee

Fill in the adviser service fee to be paid to the adviser:

Adviser service fee (annual % of total investment)	
Maximum 1% pa*	%

* 0% unless stated otherwise.

Adviser signature

Signature

Date

Signatory's full name (please print)

Contact us

t 1800 021 227
e investors@australianethical.com.au
w australianethical.com.au
p Reply Paid 3993, Sydney NSW 2001