

# Transfer request form

This form relates to the transfer of ownership in an investment in the Australian Ethical Managed Funds. It is to be read in conjunction with the Product Disclosure Statement for the relevant Australian Ethical Managed Fund current at the date of signing this form and available on our website.

Australian Ethical Investment Ltd (ABN 47 003 188 930) is the responsible entity and holder of an Australian Financial Services Licence (No. 229949).

- You will need to complete the details on this Transfer Form and the Managed Funds Application Form to effect a transfer.

New investors will need to complete the details on this Transfer Form and the Managed Funds Application Form (available at [australianethical.com.au/personal/managed-funds/forms-and-product-disclosure-statements/](http://australianethical.com.au/personal/managed-funds/forms-and-product-disclosure-statements/)) to effect a transfer.

## Send your completed form to:

[investors@australianethical.com.au](mailto:investors@australianethical.com.au) or Australian Ethical Investment Ltd, Reply Paid 3993, Sydney, NSW, 2001

### Step 1: Transferor's details

Transferor investor number

Name investor 1

Name investor 2

Name investor 3

I/we being unitholders in the Australian Ethical Managed Funds, hereby request that you transfer units from the Fund/s as specified in the table below to the transferee/s as detailed below.

Please fill out only one column for each Fund.

### Step 2: Transfer request

If you are not transferring all of your units, please ensure that at least \$1,000 worth of units remain invested in each Fund in your name to meet the minimum Retail investment requirements.

Name of the fund	Units to the \$ value of		Number of units		All units
Income Fund	\$	OR		OR	<input type="checkbox"/>
Fixed Interest Fund	\$				<input type="checkbox"/>
Property Trust	\$				<input type="checkbox"/>
Balanced Fund	\$				<input type="checkbox"/>
Advocacy Fund	\$				<input type="checkbox"/>

## Step 2: Transfer request

Name of the fund	Units to the \$ value of		Number of units		All units
Diversified Shares Fund	\$	OR		OR	<input type="checkbox"/>
Australian Shares Fund	\$				<input type="checkbox"/>
International Shares Fund	\$				<input type="checkbox"/>
Emerging Companies Fund	\$				<input type="checkbox"/>

### Regular investor plan

Place an 'X' in the box to cease your Regular Investor Plan. If the box is not crossed, your Regular Investor Plan will continue.

## Step 3: Signature of transferor(s)

For joint investments all investors must sign.

Signature

X

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Signatory's full name (please print)

Signature

X

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Signatory's full name (please print)

Signature

X

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Signatory's full name (please print)

## Step 4: Transferee(s) details

Transferee investor number (if a current investor)

Name investor 1

Name investor 2

Name investor 3

I/we being unitholders in the Australian Ethical Managed Funds, hereby request that you transfer units from the Fund/s as specified in the table above from the transferor/s as detailed above.

## Step 4: Signature of transferee(s)

I/we being the named transferee(s) below, hereby agree to be bound by the provisions of the Constitution and the Product Disclosure Statement applicable to the investment which is available on [australianethical.com.au/managed-funds/pds](http://australianethical.com.au/managed-funds/pds).

For joint investments all investors must sign.

If this transfer is signed under Power of Attorney, the Attorney states that they have no notice of revocation of the Power.

A certified copy of the Power of Attorney is attached to this document (if not previously provided).

Signature

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Signatory's full name (please print)

Signature

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Signatory's full name (please print)

Signature

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Signatory's full name (please print)

### Contact us

t 1800 021 227  
e [investors@australianethical.com.au](mailto:investors@australianethical.com.au)  
w [australianethical.com.au](http://australianethical.com.au)  
p Reply Paid 3993, Sydney NSW 2001