

Additional investment application

Account name

Investor number

Step 1: How much would you like to invest?

Additional Investment		Regular Investor Plan (Australian investors only)	
Australian Ethical Funds	Investment Amount – Additional Investment	Australian Ethical Funds	Monthly Regular Investment (\$100 minimum per fund)
Emerging Companies Fund	\$	Emerging Companies Fund	\$
International Shares Fund	\$	International Shares Fund	\$
Australian Shares Fund	\$	Australian Shares Fund	\$
Diversified Shares Fund	\$	Diversified Shares Fund	\$
Advocacy Fund	\$	Advocacy Fund	\$
Balanced Fund	\$	Balanced Fund	\$
Fixed Interest Fund	\$	Fixed Interest Fund	\$
Income Fund	\$	Income Fund	\$
Total	\$	Total	\$

NOTE: To change the way your distribution income payments are made, or to add a new bank account for Regular Investor Plan direct debits, please also complete a 'Change of Details Form' available at australianethical.com.au/forms-managed-funds

Step 2: Sign and date

- I have read and understood the applicable Product Disclosure Statements (PDS) to which this investment applies (The PDS can be found at australianethical.com.au/managed-funds-pds).
- I have read, understood and agree to the Declaration on page 2 of this form.
- If a New Zealand investor, I also acknowledge that I have received and read the Important Information for New Zealand Investors.

Signature - investor 1

Signature - investor 2 (if applicable)

Signature - investor 3 (if applicable)

Signatory's full name (please print)

Signatory's full name (please print)

Signatory's full name (please print)

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Step 3: Email or post this form to us

Email

Snap a photo with your smart phone, or scan page 1.

Email it to: investors@australianethical.com.au

or Post

You don't need a special envelope. Simply write the reply-paid address on an envelope and post it without a stamp:

**Australian Ethical Investment
Reply Paid 3993, Sydney, NSW, 2001**

Step 4: Fund your additional investment

You can fund your additional investment by:

- **BPAY** - Simply make a BPAY payment using your BPAY reference number (contact us if you're not sure what it is), and the biller code for the fund (on the right).
- **Cheque** – in Australian dollars, made payable to 'Australian Ethical Applications on Trust'.

BPAY Biller Codes

- Emerging Companies Fund: 817486
- International Shares Fund: 556373
- Australian Shares Fund: 20602
- Diversified Shares Fund: 20867
- Advocacy Fund: 125757
- Balanced Fund: 20859
- Fixed Interest Fund: 234534
- Income Fund: 20610

Declaration

By completing the Application Form I declare that:

My application is lawful because

- All the details I have provided for this application are true and correct.
- I received and accepted this offer in Australia or New Zealand.

I have made an informed decision because

- I have read the PDS to which this application applies.
- If I have received the PDS from the internet or by other electronic means, I have received the entire document.
- If I received and accepted this offer in New Zealand, I have received and obtained a copy of the New Zealand Investment Statement.

I am responsible for my decision to make this application and

- I acknowledge that no representation has been made to me by or on behalf of Australian Ethical other than those contained in the PDS.
- I am not making this application because of an unsolicited meeting with or phone call from another person.

I am aware that Australian Ethical will have records of my personal information, and

- I have read and consent to the collection, use and disclosure of my personal information in accordance with the Australian Ethical Privacy Policy available at australianethical.com.au/privacy-policy
- I have read and consent to the collection, use and disclosure of my personal information in accordance with the Australian Ethical Managed Funds Privacy Collection Notice available at australianethical.com.au/managed-funds-privacy-collection-notice

I am authorised to sign this application form because

- If signing on behalf of a company, I am an authorised person for the company.
- If I am investing as a trustee on behalf of a superannuation fund or trust, I confirm that I am acting in accordance with the authority and powers designated to me under the trust deed. If I am investing on behalf of a superannuation fund, I also confirm that the fund is a complying fund under the Superannuation Industry (Supervision) Act 1993 (Cth).

- If this application is signed by me under a power of attorney, I declare that I have not received notice of revocation of that power and I hereby supply a certified copy of the power of attorney.

I have read all the terms and conditions contained in the Product Disclosure Statement and, in particular

- I agree to the offer contained in the PDS and to be bound by the provisions of the Constitution governing the Fund.
- I understand that Australian Ethical has the right to reject any applications, switches or regular contributions.
- I understand that by electing to use the phone, email and/ or fax facilities, I agree that Australian Ethical will not be responsible to me for any fraudulently completed, incorrect or incomplete communications and that Australian Ethical will not compensate me for my losses. I also release and indemnify Australian Ethical against any liabilities whatsoever arising out of Australian Ethical acting on any communications received by phone, email or fax.
- I understand the nature of risk attached to the investments I am applying for and acknowledge that neither Australian Ethical, nor custodians, nor the constitution of a Fund guarantees a return of capital or the performance of my investment.

For any additional amounts invested into my unitholding

- I agree that before making an additional investment into an Australian Ethical Fund I will obtain the current version of the PDS. I will do this either by downloading the most up to date PDS from the Australian Ethical website or by contacting Australian Ethical and requesting a copy.

Direct debit acknowledgement

- If I have selected to join the Regular Investor Plan, I acknowledge that I have read and understood the terms and conditions governing the debit arrangements between me and Australian Ethical as set out in this application form and in the direct debit request service agreement.

Foreign Account Tax Compliance Act (FATCA)

- I understand that if I am FATCA non-exempt and I have not completed the FATCA Form available on the Australian Ethical Website at australianethical.com.au/fatca, Australian Ethical may not accept my application.

Contact us

t 1800 021 227
e investors@australianethical.com.au
w australianethical.com.au
p Reply Paid 3993, Sydney NSW 2001