

# Adviser third party and fee authority form

Australian Ethical Superannuation Pty Ltd (ABN 43 079 259 733, RSE L0001441) (Australian Ethical) which is the trustee of the Australian Ethical Retail Superannuation Fund (ABN 49 633 667 743, USI AET0100AU) (Fund)  
Please use **BLOCK LETTERS** and **black ink**.

Complete this form to authorise your adviser (third party) to view your information in relation to your Australian Ethical Super account(s). Authorisation will begin when your completed form is received and processed by us. Please consider the level of information relating to your Australian Ethical Super account that will be made available to your adviser.

Refer to **Step 4: Authorisation and declaration** for more information.

## Step 1: Confirm your member details (This section is used to verify your membership in the fund, not to update your details)

Member number

Surname

Home phone

Mobile

Date of birth

D	D	M	M	Y	Y	Y	Y
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Full given name(s)

Email

Address

Suburb

State

Postcode

Country

## Step 2: Adviser and Nominee details

Adviser name

Email (personalised)

Direct line

Mobile

Authorised rep #

Dealer Group Name

AFSL

Secondary nominee name

Email (personalised)

Direct line

Mobile

Type of nominee:

Paraplanner

Assistant

Other \_\_\_\_\_

# Adviser Services Fee Deduction Authority

## Step 3: Adviser service fee (Complete this section to update your adviser service fee arrangements)

I am being charged a fee or updating an existing fee arrangement      I am not being charged a fee

The adviser service fees indicated below will be deducted from your Australian Ethical Super account at the end of the month. If there are insufficient funds in your account at deduction date, the adviser service fee will be deducted at the end of the month when sufficient funds are first available to pay the full amount of the fee.

Please ensure the amount you insert below is exclusive of GST.

Single asset based fee	
Maximum per payment - \$2,000*	\$

Ongoing asset based fee	
Maximum 1% p.a.	% p.a.
Maximum \$1000 per month	\$ per month

## Step 4: Authorisation and declaration

By signing this section of the form I am making the following declaration:

- I understand that Australian Ethical may provide my personal information and information relating to my Australian Ethical Super account in writing, verbally or through a secure digital portal to the adviser (and nominee(s)) on this form when requested by the adviser.
- I understand this authority will allow my adviser (and nominee(s)) to gain access to information about my account through a secure portal and this authority can be removed on my request.
- I understand and agree that if the person nominated in this form moves to a new adviser firm or dealer group, this authority will continue to be effective unless I notify Australian Ethical to cancel it.
- I understand this authority will not allow my adviser (and nominee(s)) to change my personal details or carry out any transactions on my behalf.
- I understand this nomination will remain in effect until I provide notice to change or revoke the authority.
- I agree to give Australian Ethical notice if I would like to change or revoke this authority. I agree that Australian Ethical is not responsible for any loss or delay which results from Australian Ethical providing information to my adviser.
- I understand once Australian Ethical receives the completed form, they will provide my nominee(s) (**indicated in step 2**), with information (oral, written or electronic) in relation to my Australian Ethical Super account as if the request was made by me.
- I understand the nominated person(s) will not be authorised to change my personal contact details, give any instructions or carry out any transactions on my behalf, including switching investment options, making contributions, requesting a rollover or making lump sum withdrawals. Personal information such as my tax file number and bank account details will not be released to the nominated person under any circumstances.
- I understand although my nominated person(s) has 'read only' access through the portal, I acknowledge that by allowing my adviser access to my personal information, there is an increased risk that my personal information could be used in unauthorised ways.
- I agree to release, discharge and indemnify Australian Ethical from and against all actions, claims, demands, expenses and liabilities (however they arise) suffered by myself or suffered by or brought against Australian Ethical, in respect of the information given by Australian Ethical to the nominated person pursuant to this authority.
- I agree and understand that I have directly engaged the dealer group and/or the adviser to provide financial services. I agree that Australian Ethical is not a party to that arrangement and is not liable for any loss which results from that arrangement.
- I agree that Australian Ethical will not be held liable for any loss arising from: any failure by an adviser to keep their password secure and confidential; and/or any fraud or inappropriate action taken by an adviser (or a person purporting to be an adviser) as a result of using information held about me.
- If I have authorised a financial adviser to receive payment by way of Adviser Service Fees (**ASF**), I understand these fees will be deducted from my investment and will continue until I provide notice for the ASF to cease.
- The ASF deduction will stop if there are no funds available to deduct this fee.
- I declare that I, the member named in this form, have read and agree to these terms and conditions of appointing the nominee.
- I declare that the ASF relates to advice on superannuation only.
- I declare that the information I have provided on this form is true and correct.

## Step 4: Authorisation and declaration

Personal information is collected by and held for Australian Ethical by its administrator in accordance with the Privacy Act 1988 (Cth), for the purpose of administering accounts, providing services associated with those accounts and may be used for marketing and research purposes. Without this information we would not be able to provide our services to you. You can opt out of receiving marketing material at any time by calling us or notifying us by email. Your personal information may be disclosed to third party service providers (some of which may be located overseas) or otherwise as permitted by law. For further information about how personal information is handled, our complete privacy policy can be viewed at [\[link\]](#) (a hard copy of the policy can be provided on request). You can also contact us at [\[link\]](#) for any queries.

I have read and accept the above privacy collection notice.

### Member signature

Member's full name (please print)

Date

D	D	M	M	Y	Y	Y	Y
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### Send your completed form to:

Australian Ethical Super, Locked Bag 20013, Melbourne VIC 3001.

### Contact us

t 1300 134 337  
e [members@australianethical.com.au](mailto:members@australianethical.com.au)  
w [australianethical.com.au](http://australianethical.com.au)